



AYAtrust ငွေချေးသက်သေခံလက်မှတ်ကုမ္ပဏီ

ရက်စွဲ.....

(Online Trading Services အသုံးပြုရန်အတွက် လျှောက်ထားလွှာ)

စာရင်းဖွင့်သူ၏ အသေးစိတ်အချက်အလက်များ

*AYAtrust User ID အမှတ် *Log-in ID အမှတ်

ကိုယ်ရေးအချက်အလက်များ

*အမည် (မြန်မာဘာသာဖြင့်) *(အင်္ဂလိပ်ဘာသာဖြင့်).....

*နိုင်ငံသားစိစစ်ရေးကတ်ပြားအမှတ်

*အလုပ်အကိုင်.....ရာထူး ကုမ္ပဏီအမည်

ကုမ္ပဏီလိပ်စာ

အမှတ်လမ်း..... မြို့နယ်..... မြို့.....

*လစဉ်ဝင်ငွေ

- | | |
|---|--|
| <input type="checkbox"/> ကျပ် ၅၀၀,၀၀၀ နှင့် အောက် | <input type="checkbox"/> ကျပ် ၅,၀၀၀,၀၀၀ နှင့် ကျပ် ၁၀,၀၀၀,၀၀၀ ကြား |
| <input type="checkbox"/> ကျပ် ၅၀၀,၀၀၀ နှင့် ကျပ် ၁,၀၀၀,၀၀၀ ကြား | <input type="checkbox"/> ကျပ် ၁၀,၀၀၀,၀၀၀ နှင့် အထက် |
| <input type="checkbox"/> ကျပ် ၁,၀၀၀,၀၀၀ နှင့် ကျပ် ၅,၀၀၀,၀၀၀ ကြား | |

ဆက်သွယ်ရန်အချက်အလက်များ

*ဆက်သွယ်ရန်လိပ်စာ

အမှတ် လမ်း..... မြို့နယ်.....

မြို့..... စာတိုက်အမှတ်..... နိုင်ငံ.....

*လက်ကိုင်ဖုန်းနံပါတ်..... အိမ်ဖုန်းနံပါတ်.....

*အီးမေးလ်လိပ်စာ..... ဖက်စ်နံပါတ်.....

လုံခြုံရေးဆိုင်ရာမေးခွန်း - သင်နှစ်သက်ရာမေးခွန်း (၁)ခု ကိုဖြေဆိုပါ။

- သင်၏အခင်ဆုံးသူငယ်ချင်းအမည်
- သင်၏အချစ်ဆုံးအိမ်မွေးတိရစ္ဆာန်အမည်
- သင်၏စံပြုပုဂ္ဂိုလ်အမည်

လျှောက်ထားသူ၏လက်မှတ်

လျှောက်ထားသူ၏အမည်

Online Services Contract

Terms and Conditions

"Order" means an instruction to buy or sell specific securities issued by third party issuers with clear description of price and amount.

"ATSC" means AYAtrust Securities Company Limited located at No. 416, Corner of Mahabandoola Road and Mahabandoola Garden Street, Kyauktada Township, Yangon, Myanmar.

1. Upon completion of this Online Service Application Form and receiving approval for such access from ATSC, customer is authorized to place and cancel orders through ATSC online trading platform. To place buy/sell order, customer has to confirm if sufficient fund and/or securities balance is available in his/her account. To place buy/sell order, a pop up window shall show up and allow the customer to do order confirmation, after which the order is deemed effective. Each cancellation of order shall also have a pop up window to confirm such cancellation. Electronics version of contract note will be delivered immediately after trade execution. Hard copy contract note shall be available upon customer request and delivered after getting confirmation of the customer's address. Detail of order placement and order cancellation process can be referred to in the ATSC Online Trading User Manual.
2. Orders for trading at Yangon Stock Exchange (YSX) can be placed via ATSC online trading platform for 24 hours a day, 7 days a week. Orders placed before 1:00 PM on any business day is valid till the last execution time of 1:00 PM. On-call customer support is made available during the office hours of 9:00 AM – 5:00 PM on any open market day. Orders placed after market closed for the day will be accepted as offline orders or after-market orders, and the order will be processed in the following trading session.
3. Trading amount is limited to less than MMK 10 million if the trade order is placed via ATSC online trading platform.
4. The default password set in ATSC online trading application is valid only for the first login. Customer shall immediately reset his/ her desired password in the application. ATSC shall not be liable for any loss, whether notional or actual, that may be suffered by the customer on account of the misuse of the password.
5. ATSC has sole and absolute discretion to refuse and/or deny the order acceptance or suspension of order execution at any time relating to the illicit trading activities of the customer, and from time to time, without preliminary notice, report to the regulators in case of violations of the regulations, or contract, or default under Securities Exchange Commission Myanmar (SECM) and Yangon Stock Exchange (YSX).
6. ATSC as the securities company, shall bear any damage caused by its system malfunctions or operational mistakes; however, none of ATSC's respective officers, employees or nominees has any responsibility or liability to the customer for any loss arising directly or indirectly from the customer's use or reliance on the online trading services and/or the information and/or reports accessed from the online trading services.
7. Due to the nature of transactions carried out over the internet and telecommunication networks, the customer acknowledges and accepts the existence of risks unique to the online trading services. Such risks include the following:
 - (a) delay in or inability to access or use the online trading services due to any third parties' hardware, software, system or connection failure, error, malfunction, omission, interruption, delay in transmission or computer virus;
 - (b) loss of data or information or confidentiality of the same that may occur due to any cause whatsoever, including any failure of any of ATSC's electrical, electronic, computer, microprocessor, recording or communication system;
 - (c) the need to conduct maintenance of the online trading services system infrastructure from time to time, and any delay, failure, error, omission which may ensue; and
 - (d) any communications being transmitted over the internet (whether using the online trading services or otherwise) being intercepted or accessed by unauthorized or unintended parties, and therefore not arriving at the intended destination or may not arrive in the form transmitted.



- 8. If ATSC deems fit, ATSC has the right to modify or terminate the operation of the online trading services and ATSC shall not be responsible in any way for any Loss whatsoever resulting therefrom (including loss of profit or loss of opportunity) which may be suffered by the customer referable to such termination.
- 9. Customer must give ATSC not less than 7 days prior written notice with the reason to terminate the online trading services contract.
- 10. None of ATSC employees shall handle cash to and from customers for deposit and withdrawal to customer's trading account. Such cash transactions will only be conducted by and through AYA Bank.

Declarations

By signing this application form, customers have received and fully understood and agreed to the followings:

- 1. I as the customer agree to abide by the Rules and Regulations of the Securities Exchange Commission of Myanmar (SECM) or any regulatory body governing the trading of securities listed on the YSX. The customer's relationship with ATSC hereunder, the opening, maintaining and operation of all accounts, the provision of all primary services, the implementation and execution of all instructions, and the entry into and settlement of all transactions, shall be subject at all times to applicable laws.
- 2. I acknowledge that I am fully aware of and understand the risks associated with communicating instructions by telephone, fax or any other form of electronic communication including internet messaging services including the risk of misuse and unauthorised use of username and/or password by a third party and the risk of a person hacking into the internet messaging service being used. I fully accept the responsibility for the monitoring of its instructions and safeguarding the secrecy of username and password and agree that the customer shall be fully liable and responsible for any and all unauthorised use and misuse of his password and/or username. I hereby agree and acknowledge that the securities trading via the online trading services include securities issued by third party issuers. These securities bear certain risk that will not bear any liability to ATSC in the event of a default of such third party issuer of the terms of a particular Security.
- 3. I hereby agree that ATSC has no liability and shall not be responsible for any loss or inconvenience that may occur as a result of any action by any exchange, market or clearing house or SECM in exercise of their respective regulatory or supervisory functions over ATSC. The customer agrees to permit ATSC and/or any exchange, market or clearing house or SECM to have access to such terminal or device to co-operate in any of their investigations in relation to any aspect of the online trading services.
- 4. I hereby declare that I have read and am fully aware of the terms and conditions governing the use of the online trading services that I shall unconditionally agree with and shall abide by the terms and conditions stated above.
- 5. I hereby confirm that all information provided by me in online trading services application form is true and correct.

Applicant's Signature
(လျှောက်ထားသူ၏လက်မှတ်)

Applicant's Name
(လျှောက်ထားသူ၏အမည်)

Officer's Signature
(လက်ခံသူ၏လက်မှတ်)

Officer's Name
(လက်ခံသူ၏အမည်)

Date (ရက်စွဲ)